



01-02-03

EXPRESS MAIL LABEL NO.: EV 170775513 US
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Dckt. No.: 2557-001

John NOTO et al.

Serial No.: 09/775,970

Group Art Unit: 2633

Filed: Feb. 2, 2001

Examiner:

For: OPTICAL MULTIPLEXER AND CROSS-SWITCH USING ETCHED LIQUID
CRYSTAL FABRY-PEROT ETALONS

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed please find the following:

1. Information Disclosure Statement;
2. Form PTO-1449 (2 sheets); and
3. Exhibits (3 enclosures).

The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to Deposit Account No. 18-1579. The Commissioner is also authorized to charge Deposit Account No. 18-1579 for any future fees connected in any way to this application.

Respectfully submitted,

Kevin L. Pontius
Registration No. 37,512
Roberts Abokhair & Mardula, LLC
11800 Sunrise Valley Drive, Suite 1000
Reston, Virginia 20191
(703) 391-2900

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INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.97

Commissioner for Patents
Washington, D.C. 20231

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Dear Sir:

In accordance with 37 CFR § 1.56, Applicant hereby submits the following Information Disclosure Statement. The form PTO-1449 submitted, lists references which Applicant thinks may be relevant. These documents are not necessarily analogous art. It is respectfully requested that these documents be considered by the Examiner, and that the Examiner indicate consideration of the cited references by returning copies of the attached form PTO-1449 with initials or other appropriate marks affixed. Copies of all references are enclosed.

Respectfully submitted,

Kevin L. Pontius
Registration No. 37,512
Roberts Abokhair & Mardula, LLC
11800 Sunrise Valley Drive, Suite 1000
Reston, Virginia 20191
(703) 391-2900

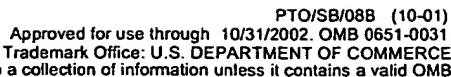
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Substitute for form 1449B/PTO

(use as many sheets as necessary)

Sheet	2	of	2
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Complete if Known

Application Number	09/775,970
Filing Date	02/02/2001
First Named Inventor	John Noto et al.
Group Art Unit	2633
Examiner Name	
Attorney Docket Number	2557-001

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

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